


<div style="display: flex; align-items: center;"><div style="writing-mode: vertical-rl; transform: rotate(180deg); font-size: small; margin-right: 10px;">08/30/01</div><div style="text-align: center;"><div style="margin-top: 5px;">UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small></div><div style="margin-top: 10px;"><small>Check Box, if applicable [] Duplicate</small></div></div></div>		Attorney Docket No.	011083	Total Pages	
		First Named Inventor or Application Identifier			
		Takashi KANO, Hiroki OHBO and Nobuhiko HAYASHI			
		Express Mail Label No.			

APPLICATION ELEMENTS FOR: <i>METHOD OF FORMING NITRIDE-BASED SEMICONDUCTOR LAYER, AND METHOD OF MANUFACTURING NITRIDE-BASED SEMICONDUCTOR DEVICE</i>	ADDRESS TO: Commissioner for Patents BOX PATENT APPLICATIONS Washington, D.C. 20231
---	--

1. ☒ Fee Transmittal Form (Incorporated within this form)
(Submit an original and a duplicate for fee processing)

2. ☒ Specification Total Pages [29]

3. ☒ Drawing(s) (35 USC 113) Total Sheets [2]

4. ☒ Oath or Declaration Total Pages [3]

a. ☒ Newly executed (original)

b. ☐ Copy from prior application (37 CFR 1.63(d)
(for continuation/divisional with Box 17 completed).

i. ☐ Deletion of Inventor(s)
Signed statement attached deleting inventor(s) named in prior application,
see 37 CFR 1.63(d)(2) and 1.33(b).

5. ☐ Incorporation by reference (useable if box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under
Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby
incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)

7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

a. ☐ Computer Readable Copy

b. ☐ Paper Copy (identical to computer copy)

c. ☐ Statement Verifying identity of above copies

JC821 U.S. PTO
09/941982
08/30/01

ACCOMPANYING APPLICATION PARTS

8. ☒ Assignment Papers (cover sheet and document(s)) to: SANYO ELECTRIC CO., LTD., Moriguchi-shi, Japan
Please publish the assignee data with the application.

9. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications
under 37 CFR 1.53(b))

Attorney Docket No. **011083**

First Named Inventor or Application Identifier

Takashi KANO, Hiroki OHBO and Nobuhiko HAYASHI

PAGE 2 OF 3

10. ☐ English translation Document (if applicable)
11. ☒ Information Disclosure Statement ☒ Copies of IDS Citations (PTO-1449 w/5 refs.)
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
14. ☐ Small Entity Status is claimed.
15. ☒ Claim for Convention Priority ☐ Certified copy of Priority Document is enclosed.
- a. Priority of Japanese application No. 2000-265391 filed on September 1, 2000 is claimed under 35 USC 119.
16. ☐ Other _____
17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:
- ☐ Continuation ☐ Division ☐ Continuation-in-part (CIP) of prior application no. ____/____

FEE TRANSMITTAL	Number Filed	Number Extra	Rate	Basic Fee
The filing fee is calculated below				\$710.00
Total Claims	18 - 20		x \$18.00	
Independent Claims	2 - 3		x \$80.00	
Multiple Dependent Claims			\$270.00	
Basic Filing Fee				710.00
Reduction by 1/2 for small entity				
Fee for recording enclosed Assignment			\$40.00	40.00
TOTAL				\$750.00

**UTILITY PATENT
APPLICATION TRANSMITTAL**

(Only for new nonprovisional applications
under 37 CFR 1.53(b))

Attorney Docket No.

011083

First Named Inventor or Application Identifier

Takashi KANO, Hiroki OHBO and Nobuhiko HAYASHI

PAGE 3 OF 3

☒ A check in the amount of \$750.00 is enclosed to cover the filing fee of \$710.00 and the assignment recordation fee of \$40.00.

☐ Please charge our Deposit Account No. **01-2340** in the total amount of _____ to cover the filing fee and the _____ assignment recordation fee. A duplicate of this sheet is attached.

☒ The Commissioner is hereby authorized to charge payment for any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Deposit Account No. **01-2340**. A duplicate of this sheet is attached.

18. CORRESPONDENCE ADDRESS:



23850

PATENT TRADEMARK OFFICE

SUBMITTED BY

Typed or Printed Name **William G. Kratz, Jr.**

Reg. No. **22,631**

Signature

Date: **August 30, 2001**

WGK/yap

ARMSTRONG, WESTERMAN, HATTORI,
McLELAND & NAUGHTON, LLP
Suite 1000, 1725 K Street, N.W.
Washington, D. C. 20006
Tel: (202) 659-2930
Fax: (202) 887-0357